

GLAUKO.010A



3738
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hosheng Tu, et al.
App. No. : 10/046,137
Filed : November 8, 2001
For : DRUG-RELEASING
TRABECULAR IMPLANT
FOR GLAUCOMA
TREATMENT
Examiner : Unknown

Group Art Unit 3738

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July 11, 2002
(Date)

James W. Hill
James W. Hill, M.D., Reg. No. 46,396

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
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Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing eighty-seven (87) references that have been previously submitted by the Applicant and/or Examiner in a related application (Serial Number 09/549,350, filed April 14, 2000). Additional copies are therefore not enclosed herewith in accordance with the provisions of 37 C.F.R. 1.989d).

This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

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Appl. No. : 10/046,137
Filed : November 8, 2001

Identification herein is not an admission that any of the foregoing is prior art to the above-captioned application.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7/11/02

By: jmh

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SHEET 1 OF 4

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/046,137
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>ONE OR SEVERAL SHEETS IF NECESSARY</i>		APPLICANT Hosheng Tu, et al.	
		FILING DATE November 8, 2001	GROUP ART UNIT 3738



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	RE 35,390	12-03-1996	Smith			
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	4,175,563	11-27-1979	Arenberg, et al.			
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		FILING DATE November 8, 2001	GROUP ART UNIT 3738

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PTO-1449
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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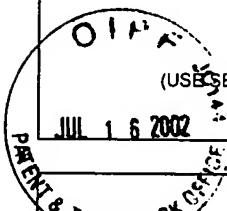
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SHEET 3 OF 4

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 89/00869	02-09-1989	PCT				
	WO 98/30181	07-16-1998	PCT				
	WO 99/26567	06-03-1999	PCT				
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0898 947 A2	03-03-1999	EUROPEAN				

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	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD and Gunter K. Kriegstein, MD, <i>Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a new Surgical Technique in Advanced Chronic Open-Angle Glaucoma</i> , <i>American Journal of Ophthalmology</i> , May 1999, pages 505-510						
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	R.A. Hill, Q. Ren, D.C. Nguyen, L.H. Liaw, & M.W. Berns, <i>Free-electron Laser (FEL) Ablation of Ocular Tissues</i> , <i>Laser Med Sci</i> 1998, Vol 13, pages 219-226						
	Maurice H. Luntz, MD & D.G. Livingston, B.Sc., <i>Trabeculotomy AB Extero & Trabeculectomy in Congenital and Adult-Onset Glaucoma</i> , <i>American Journal of Ophthalmology</i> , February 1977, Vol 83, Number 2, pages 174-179						
	W.M. Grant, MD, <i>Further Studies on Facility of Flow Through the Trabecular Meshwork</i> , <i>AMA Archives of Ophthalmology</i> , October 1958, Vol 60, pages 523-533						
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	L. Jay Katz, MD, <i>A Call for Innovative Operations for Glaucoma</i> , <i>Arch Ophthalmology</i> , March 2000, Vol 118, pages 412-413						
	Anselm Kampik & Franz Grehn, <i>Nutzen und Risiken Augenärztlicher Therapie</i> , <i>Hauptreferate der XXXIII. Essener Fortbildung für Augenärzte</i> , December 1998. (English translated version enclosed "Benefits and Risks of Ophthalmological Therapy")						
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